

Kinetic Resolution of Secondary Alcohols Mediated by Rabbit Gastric Lipase

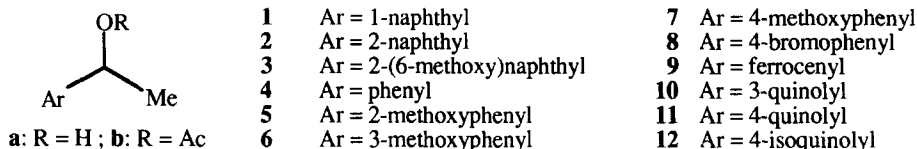
Jean-Yves Legros*, Martial Toffano, Sally K. Drayton, Michael Rivard
 and Jean-Claude Fiaud*

Laboratoire de Synthèse Asymétrique Associé au C.N.R.S.

Institut de Chimie Moléculaire d'Orsay, Bâtiment 420, Université de Paris-Sud, 91405 Orsay, France.*

Abstract: Secondary benzylic alcohols were kinetically resolved by rabbit gastric lipase-mediated acylation with isopropenyl acetate. Among all the substrates tested, a high enantioselectivity was observed only for 1-[2-(6-methoxy)naphthyl]ethanol **3a** ($E > 500$). Allylic alcohols **14a** and **15a** were also efficiently resolved ($E = 26$ and 51 respectively). © 1997 Elsevier Science Ltd. All rights reserved.

We recently described the palladium-catalyzed nucleophilic substitution of enantiomerically pure esters of alcohols **1a-3a** by sodium dimethyl malonate.¹ Alcohols **1a** and **2a** are commercially available, in racemic and both enantiomeric forms. Racemic **3a** is easily prepared by hydride reduction of the corresponding ketone.² We wish to report our results concerning the preparation of enantiomerically pure **3a** and **3b**,³ and various others optically active 1-arylethanol, by kinetic resolution mediated by rabbit gastric lipase (RGL).⁴ We already used RGL in kinetic resolution of 2-hydroxyalkyldiphenylphosphines⁵ and axially chiral cycloalkyldenethanols.⁶



Racemic 1-[2-(6-methoxynaphthyl)]ethanol **3a** (1g, 5 mmol) and isopropenyl acetate (1.5 g, 15 mmol) were dissolved in 30 mL ether and 200 mg of RGL were added. The resulting suspension was stirred at room temperature for 21.5 h and then filtered through Celite. After evaporation of the solvent, the residue was subjected to chromatography (silica gel, eluent = hexane / ethyl acetate 80:20) to give (*R*)-**3b** (0.51 g, 2.1 mmol, 42%), ee > 99.5% and (*S*)-**3a** (0.41 g, 2.0 mmol, 41%), ee = 78.5%. Enantiomeric excesses were determined by HPLC on a CHIRACEL OD-H column. The substrate conversion and the enantioselectivity factor E were calculated⁷ to be 44% and > 500 respectively. This high value of E is superior to the reported value in the literature ($E = 78$),^{3b} and to the results we obtained with some commercially available lipases. Saponification (KOH, MeOH) of (*R*)-**3b** gave enantiomerically pure (*R*)-**3a**.

Encouraged by this satisfactory result, we attempted the acylation of **3a** by succinic anhydride,⁹ in order to avoid the separation of **3a** and **3b** by column chromatography. However, although the enzymatic activity was retained, the enantioselectivity of this resolution was very low ($E = 2$).

* Fax: (33) 01 69 15 46 80. E-mail: jylegros@icmo.u-psud.fr or: fiaud@icmo.u-psud.fr

Some other 1-arylethanol were tested in the acetylation by isopropenyl acetate: experimental conditions were the same as described above for **3a**. Results are collected in the Table.

These kinetic resolutions were performed in order to determine the factor *E*. Enantiomeric excesses of remaining substrates were not optimized. The present study demonstrate that RGL shown a high specificity for alcohol **3a**. For all other substrates, the *E* values were in the range 1.1 to 23. Utilization of RGL in the case of **1a**, **2a**, **4a**, **9a** and **11a** does not improve the results described in the literature.¹⁰

1a and **2a** showed moderate enantioselectivity with a very low enzymatic activity for the former (entries 1 and 2). The large difference on substrates **2a** and **3a** should be noted: the introduction of a methoxy substituent in the 6-position of **2a** enhances the enantioselectivity by a factor 20 at least. The enantiomers of 1-acenaphthenol **13a** were both rapidly esterified in the same conditions (entry 4).

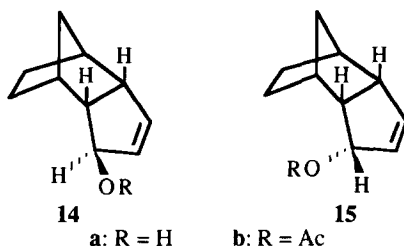
1-Phenylethanol **4a** was poorly resolved by RGL (entry 5) and introduction of a methoxy substituent in meta (**6a**) or para (**7a**) position resulted in a moderate enhancement of the enantioselectivity (entries 6 and 7). The results of entries 5 to 7 showed that the large difference observed between the enantioselectivities on **2a** and **3a** is not only due to an electronic effect. 1-(2-methoxyphenyl)ethanol **5a** was recovered unchanged after 5 days of reaction, maybe because of a strong hydrogen bond stabilization of the substrate.

The introduction of a para-bromo substituent is beneficial (entry 8), and the recovered 1-(4-bromo)-phenylethanol **8a** was enantiomerically pure at 60% conversion.

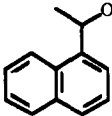
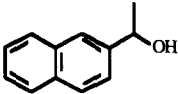
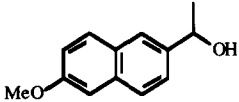
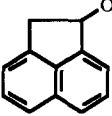
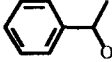
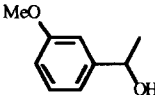

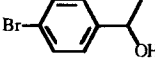
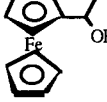
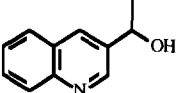
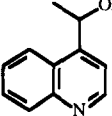
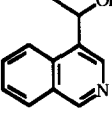
Both enantiomers of 1-ferrocenylethanol **9a** were acetylated with very similar rates and both the alcohol **9a** and the acetate **9b** were obtained with very low ee values (entry 9).

We investigated three heteroaromatic substrates **10a-12a**: (+)-1-(3-quinolyl)ethanol **10a** was previously obtained by asymmetric hydride reduction of 3-acetylquinoline,¹¹ but the enantioselectivity of the reaction was unknown. 1-(4-Quinolyl)ethanol **11a** was recently resolved via an immobilized lipase-catalyzed acetylation.^{10d} To the best of our knowledge, 1-(4-isoquinolyl)ethanol **12a** was described only in racemic form. Substrate **10a** gave a comparable result to the similarly substituted naphthalene derivative **2a** (entry 10), whereas **11a** and **12a** (entries 11 and 12) were slowly acetylated, with a lower enantioselectivity than **1a**. Introduction of a nitrogen atom on the aromatic moiety has little influence on this resolution.

Finally, we examined the kinetic resolution of allylic alcohols **14a** and **15a**.¹² Commercially available PPL and CCL lipases were not efficient in the acetylation of (\pm)-**14a**: the enzymatic activities were low (conversion of 17% and 33% respectively in 3 days) as well as the enantioselectivities (*E* = 5 and 3). RGL gave the best result for this substrate: (+)-**14a** (ee = 70%) and (-)-**14b** (ee = 85%) were obtained after 44h at 20°C in cyclohexane (*c* = 0.45, *E* = 26). The acetylation of the endo isomer (\pm)-**15a** was slower since after 5 days at 30°C (no acetate was detected after two days of reaction at 20°C), the conversion reached about the same value (*c* = 0.44). However, the enantioselectivity was enhanced: alcohol (+)-**15a** and acetate (-)-**15b** were isolated with 71% and 92% ee respectively (*E* = 51).¹³



Table

| Entry | Substrate | time (h) | ee alcohol (%) ^a | ee acetate (%) ^a | c (%) ^b | E ^b |
|-------|---|----------|-----------------------------|-----------------------------|--------------------|----------------|
| 1 | 1a  | 96 | 13 | 85 | 13.5 | 14 |
| 2 | 2a  | 19 | 59 ^c | 85.5 | 41 | 23 |
| 3 | 3a  | 21.5 | 78.5 | >99.5 | 44 | >500 |
| 4 | 13a  | 24 | 80.5 | 2.5 ^d | 97 | 2 |
| 5 | 4a  | 18 | 54 | 43.5 ^d | 55.5 | 4 |
| 6 | 6a  | 18 | 92 | 63 ^d | 59.5 | 14 |
| 7 | 7a  | 18 | 95 ^c | 36 | 72.5 | 7 |
| 8 | 8a  | 18 | >99.5 | 63 ^d | 61 | 20 |
| 9 | 9a  | 18 | 6 ^c | 4 | 60 | 1.1 |
| 10 | 10a  | 15 | 82.5 | 74.5 ^d | 52.5 | 17 |
| 11 | 11a  | 17 | 7 | 68.5 | 9 | 6 |
| 12 | 12a  | 96 | 17 ^c | 59 ^e | 22 | 5 |

^a Determined by HPLC unless otherwise noted. ^b The substrate conversion *c* and the enantioselectivity factor *E* were calculated⁷ from standard equations. ^c Determined by HPLC after acetylation (Ac₂O, catalytic DMAP, Et₃N). ^d Determined by HPLC after saponification (KOH, MeOH). ^e Determined by ¹H NMR in the presence of Eu(hfc)₃.

In conclusion, enantioselectivities for kinetic resolution of a number of secondary alcohols have been determined in lipase-mediated acetylation. Rabbit gastric lipase was found to be highly superior in terms of enantioselection to common commercially available lipases in two cases (**3a** and **14a**). In the case of bicyclic aromatic substrates, influence of steric factors in enantioselection predominates since β -substituted aryl methyl carbinols showed higher selectivity than α -isomers.

Acknowledgment: We thank Jouveinal S.A. for providing samples of RGL. M.T. thanks the Ministère de l'Enseignement Supérieur et de la Recherche for a Grant. S.K.D. thanks the European Community for a Grant (EC network: Stereoselective Organic Synthesis).

References and notes

- Legros, J.Y.; Toffano, M.; Fiaud, J.C. *Tetrahedron* **1995**, *51*, 3235-3246.
- 2-Acetyl-6-methoxynaphthalene was prepared according to: Arsenijevic, L.; Arsenijevic, V.; Horeau, A.; Jacques, J. *Org. Synth.* **1973**, *53*, 5-8.
- 1-[2-(6-methoxynaphthyl)]ethanol was previously obtained optically active by: (a) asymmetric oxazaborolidine-catalyzed borane reduction of 2-acetyl-6-methoxynaphthalene: Corey, E.J.; Bakshi, R.K.; Shibata, S.; Chen, C.P.; Singh, V.K. *J. Am. Chem. Soc.* **1987**, *109*, 7925-7926, (b) kinetic resolution using XAD-8 immobilized lipoprotein lipase: Hsu, S.H.; Wu, S.S.; Wang, Y.F.; Wong, C.H. *Tetrahedron Lett.* **1990**, *31*, 6403-6406.
- Moreau, H.; Gargouri, Y.; Lecat, D.; Junien, J.L.; Verger, R. *Biochim. Biophys. Acta* **1988**, *960*, 286-293. The Rabbit Gastric Lipase is a crude lipase extracted from rabbit stomach and was obtained from Sipsy-Jouveinal Company. It is a lyophilized powder showing ≈ 20 U/mg (tributyrin as substrate).
- Kagan, H.B.; Tahar, M.; Fiaud, J.C. *Bioorg. Med. Chem.* **1994**, *2*, 15-21.
- Fiaud, J.C.; Gil, R.; Legros, J.Y.; Aribi-Zouiouche, L.; König, W.A. *Tetrahedron Lett.* **1992**, *33*, 6967-6970.
- The substrate conversion c and the enantioselectivity factor E were calculated taking the following equations,⁸ where ee_s and ee_p refer to the recovered alcohol substrate and the acetate product respectively:

$$c = ee_s / (ee_s + ee_p); \quad E = \ln[(1-c)(1-ee_s)] / \ln[(1-c)(1+ee_s)]$$
- (a) Chen, C.S.; Wu, C.H.; Girgaukas, G.; Sih, C.J. *J. Am. Chem. Soc.* **1987**, *109*, 2182-2187.
 (b) Kagan, H.B.; Fiaud, J.C. Kinetic Resolution. In *Topics in Stereochemistry*, Vol. 18, pp. 249-330, Eliel, E.L.; Wilen, S.H., Eds; John Wiley and Sons, Inc.; New York, 1988.
- For other lipase-catalyzed acylations with succinic anhydride, see: (a) Terao, Y.; Tsuji, K.; Murata, M.; Achiwa, K.; Nishio, T.; Watanabe, N.; Seto, K. *Chem. Pharm. Bull.* **1989**, *37*, 1653-1655. (b) Gutman, A.L.; Brenner, D.; Boltanski, A. *Tetrahedron: Asymmetry* **1993**, *4*, 839-964. (c) reference 8.
- For some recent examples of lipase catalyzed resolutions of aryl methyl carbinols, see: (a) Ferraboschi, P.; Casati, S.; Manzocchi, A.; Santaniello, E. *Tetrahedron: Asymmetry* **1995**, *6*, 1521-1524. (b) Nakamura, K.; Kawasaki, M.; Ohno, A. *Bull. Chem. Soc. Jpn.* **1996**, *69*, 1079-1085. (c) Suginaka, K.; Hayashi, Y.; Yamamoto, Y. *Tetrahedron: Asymmetry* **1996**, *7*, 1153-1158. (d) Nishikawa, T.; Yoshikai, M.; Obi, K.; Isobe, M. *Tetrahedron Lett.* **1994**, *35*, 7997-8000. For an efficient resolved of 1-ferrocenyl-ethanol: (e) Boaz, N.W. *Tetrahedron Lett.* **1989**, *30*, 2061-2064.
- Seebach, D.; Daum, H. *Chem. Ber.* **1974**, *107*, 1748-1763.
- For the synthesis of racemic **14a-b** and **15a-b**, see: Fiaud, J.C.; Legros, J.Y. *J. Org. Chem.* **1987**, *52*, 1907-1911.
- endo* 3-Hydroxydicyclopentadiene (dehydro analogue of **15a**) is also more efficiently resolved by lipase-mediated acetylation than the *exo* stereoisomer: Tanaka, K.; Ogasawara, K. *Synthesis* **1995**, 1237-1239 and references herein.

(Received in France 3 January 1997; accepted 30 January 1997)